

20/05/20

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.	3781-001-27	
First Inventor or Application Identifier	Frank Q. LI et al.	
Title	POLYMERIC CONJUGATES FOR DELIVERY OF MHC-RECOGNIZED EPITOPE VIA PEPTIDE VACCINES	

S-PRO
10/05/2002

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
3. Specification Total Pages 65
4. Drawing(s) (35 U.S.C. 113) Total Sheets 5
5. Oath or Declaration Total Pages 3
 - a. Newly executed (original)
 - b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
6. Incorporation By Reference *(usable if box 4B is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING DOCUMENTS

6. Assignment Papers (cover sheet & document(s))
7. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee)
8. English Translation Document *(if applicable)*
9. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
10. Preliminary Amendment
11. White Advance Serial No. Postcard
12. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. Request for Priority
14. List of Inventors' Names and Addresses
15. Other:

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

 Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

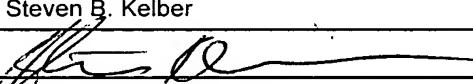
Amend the specification by inserting before the first line the sentence:

 This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on This application claims priority of provisional application Serial No. 60/310,498

Filed August 8, 2001

18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.	30,073	
Signature		Date	February 5, 2002	Telephone
Name		Registration No.	202-861-3900	

FEE TRANSMITTAL

		Docket No.	3781-001-27	
		Serial No.	NEW PATENT APPLICATION	
		Filing Date	HEREWITH	
		Inventor(s)	Frank Q. LI et al.	
		Group Art Unit		
TOTAL AMOUNT OF PAYMENT		\$452.00	Examiner	

<p>1. <input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p><input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p>								FEE CALCULATION (continued)							
								3. ADDITIONAL FEES							
								Large Entity		Small Entity		Fee Description			
2. <input checked="" type="checkbox"/> Check enclosed.								Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid		
FEE CALCULATION								105	130	205	65	Surcharge-late filing fee or oath			
1. BASIC FILING FEE								127	50	227	25	Surcharge-late provisional filing fee or cover sheet			
Large Entity		Small Entity		Fee Description				138	130	139	130	Non-English specification			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	147	2520	147	2520	Ex parte reexam. fee		
101	740	201	370	Utility filing fee				370.00	115	110	215	55	1-mo. ext. of time		
106	330	206	165	Design filing fee					116	400	216	200	2-mo. ext. of time		
107	510	207	255	Plant filing fee					117	920	217	460	3-mo. ext. of time		
108	740	208	370	Reissue filing fee					118	1440	218	720	4-mo. ext. of time		
14	160	214	80	Provisional filing fee					128	1960	228	980	5-mo. ext. of time		
SUBTOTAL (1)								\$370.00	119	320	219	160	Notice of Appeal		
EXTRA CLAIM FEES								120	320	220	160	Appeal Brief			
claims	14	-	20*	=	0	x	\$9	=	0	121	280	221	140	Request for Oral Hearing	
ind. claims	4	-	3*	=	1	x	\$42	=	42	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims	\$140							=	143	460	243	230		Design Issue Fee	
Large Entity		Small Entity		Fee Description				144	620	244	310		Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130		Petitions to the Commissioner		
103	18	203	9	Claims in excess of 20				126	180	126	180		IDS Submission		
102	84	202	42	Independent claims in excess of 3				581	40	581	40		Assignment	40.00	
104	280	204	140	Multiple dependent claim, if not paid				179	740	279	370		For Filing RCE		
109	84	209	42	*Reissue independent claims over original patent				169	900	169	900		Expedited Design		
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):							
SUBTOTAL (2)								\$42.00							
* or number previously paid, if greater; For Reissues, see above								SUBTOTAL (3)					\$40.00		

Name	Steven B. Kelber			Registration No.	30,073		
Signature				Date	February 5, 2002	Telephone	202-861-3900
Name				Registration No.			